

# MICs support mechanisms and Gavi 6.0

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[gavi.org](https://gavi.org)



# The situation in Middle Income Countries (2022)

## Problem statement



MICs are lagging behind in introducing critical vaccines, presenting a threat to inter-country equity



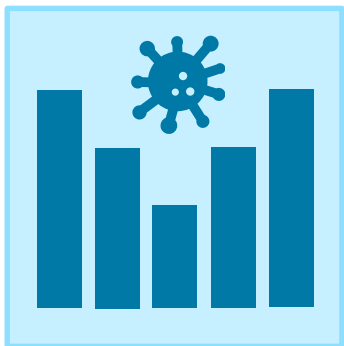
33% MICs missing **PCV**



49% MICs missing **Rota**



47% MICs missing **HPV**

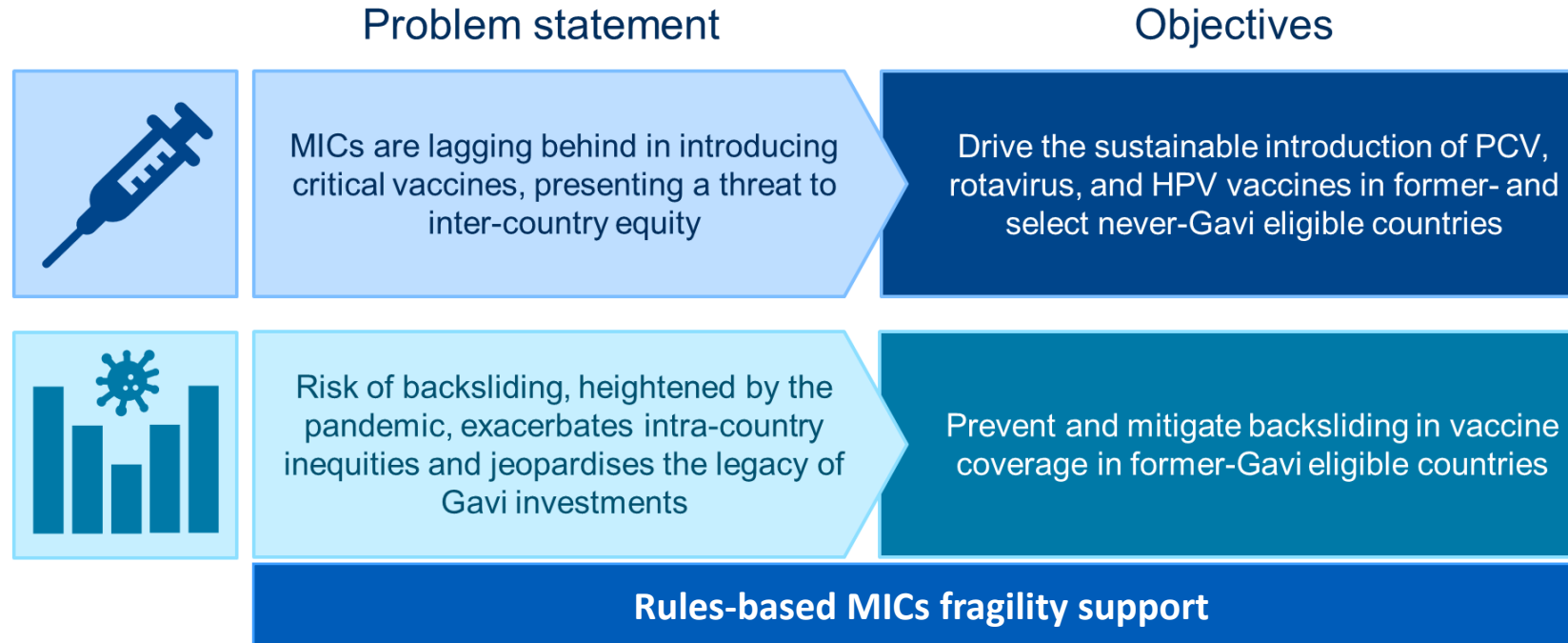


Risk of backsliding, heightened by the pandemic, exacerbates intra-country inequities and jeopardises the legacy of Gavi investments



53% Former Gavi's saw **DTP3 backsliding** <5pp 2019-2021

# The MICs Approach: addressing key threats to equity and sustainability in Gavi 5.0/5.1



Country eligibility:

- 19 Former Gavi-eligible countries
- 27 Never Gavi-eligible countries

# Targeted Interventions

# Support under MICs approach...



# Philosophy for engaging ...

**Prevent and mitigate backsliding in vaccine coverage in former Gavi-eligible countries**

**Coverage rates restored, including by reaching zero-dose children**

**Immunisation system performance is maintained, sustainable, and resilient to shocks and stresses**

Routine immunisation services restored and reinforced to catch up missed children

Zero-dose children identified and targeted in reinforcement of routine immunisation services

Community demand for & confidence in vaccines and immunisation services, including among missed communities

Institutional capacities to plan and deliver sustained, equitable immunisation programmes, as a platform for broader PHC delivery

Sufficient, sustained, and reliable domestic resources for immunisation programmes

Political commitment to & accountability for equitable immunisation (including zero-dose agenda) at national & subnational levels

# Mitigating backsliding support

- Through **Targeted Interventions** support, Gavi aims to **mitigate backsliding in former Gavi-eligible countries** that have seen significant and sustained reductions in vaccine coverage
- With core principles of equity and sustainability, Targeted Interventions seek to address the **systemic drivers of low coverage**, including identifying and reaching **zero-dose children** and missed communities
- Support for Targeted Interventions is intended to be highly targeted, and therefore a pre-screening process is undertaken by Gavi to identify countries that could benefit most from this support and where there is **greatest potential to affect change and restore immunisation coverage**

## *Scope of support*

- **No explicit restriction** on which activities are in scope: countries can self-define and prioritise activities most relevant in their contexts, but interventions **must**:
  - **Clearly, logically, and meaningfully contribute** to the desired **outcomes** of the Gavi MICs Approach, and
  - Align with the **guiding principles** for the support.
  - Costs that are unlikely to meet the principles of the support and are therefore unlikely to be funded.
  - If countries wish to propose such costs, detailed budget items must be accompanied with a clear justification for the cost, including how it meets the principles of the support and specifically the ‘sustainability’ principle.
- Countries can also, as part of their proposal, request funding for **technical assistance** through local partners, including CSOs, to support them in their efforts.
- **No budget ceiling** for support requests, but proposals must **prioritise key activities that will concretely contribute** towards the defined objectives, be **realistic and pragmatic** as to what can be absorbed and achieved in the time available whilst **remaining in line with the principles**.



## *Guiding Principles of MICS -TI*

**HIGH  
IMPACT**

**TARGETED**

**ADAPTIVE**

**CATALYTIC**

**SUSTAINABLE**

**INNOVATIVE**

**COORDINATED**

## *Operationalisation*

- **Country Eligibility:**
  - All former Gavi-eligible countries are in principle eligible for TI support to mitigate backsliding in vaccine coverage rates.
- This support is highly targeted, and the Secretariat will undertake a pre-screening of candidate countries. This pre-screening will consist of an assessment against several criteria, including:
  - Data demonstrating that the country has seen a sustained reduction in routine immunisation coverage rates.
  - Data demonstrating that there is a significant proportion and/or number of zero-dose children in the country.
- **Implementation period: until 31 December 2025.**

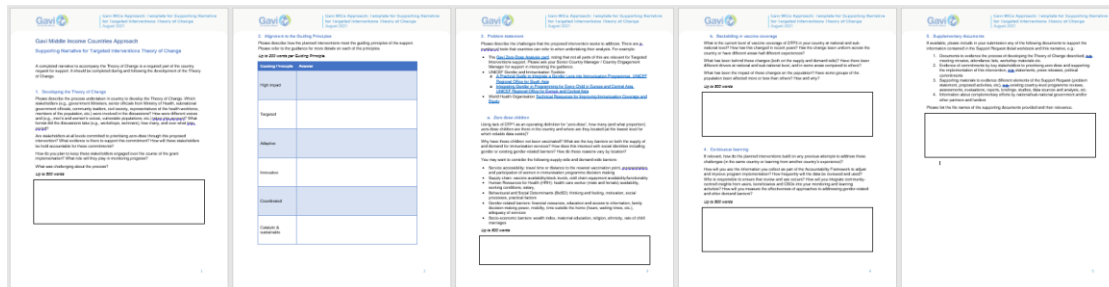
## *Developing the Support Request*

- A Country Support Request comprises three elements:
  - 1.A country-level Theory of Change and narrative
  - 2.A costed workplan, workplan costing detail and Admin cost detail
  - 3.An Accountability Framework
- All three elements should be submitted together and must be coherent and aligned, using the MICs Support Detail template and the MICs ToC Narrative
- Gavi will not review the application without the signatures of both the Minister of Health and Minister of Finance or their delegated authority

# Country-level Theory of Change and narrative

The Support Request invites countries to develop a **Theory of Change** that contributes to the desired long-term and intermediate outcomes of the MICs Approach.

→ The ToC may include activities and outcomes which are not proposed to Gavi for funding in order to show a comprehensive view of activities at country level.



The **Narrative** invites countries to provide additional information on the process of developing the ToC, describe how the proposed intervention aligns to the support principles, provide an evidence-based problem statement, and indicate how the programme will learn and adapt with time.

# Costed workplan and workplan costing detail



- For each activity proposed to Gavi for funding, the **Costed Workplan** requests information on the **annual budget** for that activity, **high level timings** for implementation, the **implementer**, description of how the **impact of the investment will be sustained** after Gavi support finishes, and what (if any) other financing support is contributing to the cost of the activity.

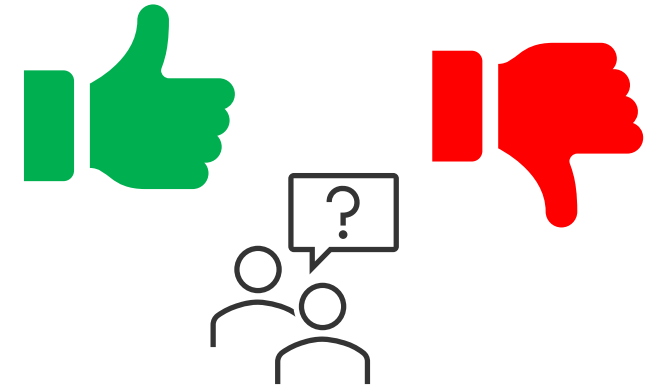
The **Workplan costing detail** requests information on **unit costs** for each component of the activities.

Gavi		Costed Workplan: For elements proposed for Gavi funding only				TIMING AND COSTS								BUDGET ASSUMPTIONS		IMPLEMENTATION	
Outcomes, Objectives and Activities	Gavi Activity Category	Support from domestic resources or other donors	How will the impact of this investment be sustained after Gavi support finishes?	Implementer	HIGH LEVEL TIMING (check box) and ANNUAL BUDGET								TOTAL ACROSS ALL YEARS	% TOTAL BUDGET	To include how the cost was calculated (show)	Implementation Notes	
	(select from dropdown - see Tab 4 for complete)				Y1 BUDGET				Y2 BUDGET				Y2 BUDGET				
					Y1 Q1	Y1 Q2	Y1 Q3	Y1 Q4	Y2 Q1	Y2 Q2	Y2 Q3	Y2 Q4					
Objective 1 Description																	
Activity 1.1 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 1.2 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 1.3 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 1.4 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 1.5 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 1.6 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Other Objective 1 costs																	
Objective 2 Description																	
Activity 2.1 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 2.2 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 2.3 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 2.4 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 2.5 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 2.6 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 2.7 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 2.8 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 2.9 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 2.10 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 2.11 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 2.12 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													
Activity 2.13 Description	(select from dropdown)	([if complementary investments from other sources/donors, brief description, and amount])	([if description of sustainability plan])	([organization, including WHO])													

\* Budget assumptions, unit cost justifications and explanations must be given. This information can be entered directly in the column 'Comment on Input Unit Cost' or submitted as a separate attachment to provide additional detail. References to attachments or additional cost information must be made in the 'Comment on input unit price' column.

# Accountability Framework

The **Accountability Framework** provides the means by which to monitor outcomes, objectives, programme implementation and budget execution through a matrix of **indicators and targets**



Accountability Framework																																			
Long term outcomes																	Intermediate Outcomes					Grant Implementation & Budget Disbursement				Country Objectives: For elements proposed for Gavi funding only					Country Objectives: For elements proposed for Gavi funding only				
Indicator Value																	Indicator Value					Indicator Value				Indicator Value					Indicator Value				
Long term outcome	Indicator	Baseline	T1	T2	Date source	Frequency of reporting	Intermediate Outcome	Indicator	Baseline	T1	T2	Date source	Frequency of reporting	Indicator	T1	T2	Date source	Reporting frequency	Objective	Indicator	T1	T2	Date source	Frequency of reporting	Objective	Indicator	Baseline	T1	T2	Date source					
<b>MITIGATE</b> Coverage rates restored, including by reaching zero-dose children	DTP3 coverage	[populated by Gavi from global tracker]	Target	Target	[enter]	[select from dropdown]	Routine immunisation services restored and zero-dose children catch up missed children	Percent of immunisation routine equipment planned, fixed and outreach	[populated by country]	Target	Target	[enter]	[select from dropdown]	Budget allocation (w/o) rate for a given reporting period, Gavi	Target	Target	2. Country Workplan	Annually	1	Percent of workplan activities executed	Target	Target	2. Country Workplan	Annually	1										
	Reduction in zero-dose	[populated by Gavi from global tracker]	Target	Target	[enter]	[select from dropdown]	Zero-dose children identified and targeted in reinforcement of routine immunisation services	DTP1 in targeted area	[populated by country]	Target	Target	[enter]	[select from dropdown]	Disbursement of funds reaching sub-national level, Gavi	n/a	n/a	2. Country Workplan	Annually	2	Percent of workplan activities executed	Target	Target	2. Country Workplan	Annually	2										
							Community demand for confidence in vaccine and immunisation services, including among marginalized communities	[populated by country]	[populated by country]	Target	Target	[enter]	[select from dropdown]	Funding allocated to civil society and community organizations	n/a	n/a	[enter]	[select from dropdown]	3	Percent of workplan activities executed	Target	Target	2. Country Workplan	Annually	3										
							Institutional capacity to plan and deliver routine equitable immunisation programmes, or a platform for broader PHO delivery	[optional, populated by country]	[optional, populated by country]	Target	Target	[enter]	[select from dropdown]						4	Percent of workplan activities executed	Target	Target	2. Country Workplan	Annually	4										
							Sufficient, sustained, and reliable domestic resources for immunisation programmes	[populated by country]	[populated by country]	Target	Target	[enter]	[select from dropdown]						5	Percent of workplan activities executed	Target	Target	2. Country Workplan	Annually	5										
							Political commitment to accountability for equitable immunisation (including zero-dose agenda) at national or sub-national levels	[populated by country]	[populated by country]	Target	Target	[enter]	[select from dropdown]						6	Percent of workplan activities executed	Target	Target	2. Country Workplan	Annually	6										
																			etc						etc										

## *Approval process*

Submitted documents go through the following steps:

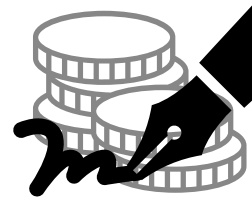
- 1) Internal pre-screening by SCM & MICS
- 2) Internal pre-screening by Program Financial Management (PFM) team
- 3) Upon PFM approval, application is submitted to FD&R who liaise with the Independent Review Committee (IRC) for the application's review and approval

Application might return to country for review if pre-screening requires additional review from the country.

# Signatures and Endorsements

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And finally, countries are requested to provide a signature from the **Ministers of Health and Finance** (or their delegates) to demonstrate their commitment and endorsement of the Support Request





# Other MICs support mechanisms

# Responsive & catalytic tools: Addressing country-specific needs to introduce new vaccines

A suite of targeted and catalytic tools helps drive the sustainable and equitable introduction of PCV, rotavirus, and HPV vaccines in both former- and never-Gavi eligible countries:



## Technical assistance

Support via relevant core and expanded partners to drive forward sustainable and equitable new vaccine introductions



## Flexible funding for one-off costs

Funding to help cover one-off costs related to new vaccine introductions that are traditionally unfunded or challenging to fund



## Vaccine catalytic financing

Vaccine financing equivalent to half the first birth (or target) cohort for a new vaccine introduction



## Pooled procurement mechanisms

Assistance accessing pooled procurement mechanisms in collaboration with UNICEF Supply Division

# Regional and multi-country technical assistance

## WHO and UNICEF HQ and Regional Offices

Technical assistance  
Political will building

*WHO HQ, EURO, EMRO, PAHO*  
*UNICEF HQ, EAPRO, ECARO, LACRO, MENARO*

**Develop and deliver tailored approaches and activities to address critical gaps in each region**

### **Range of activities/focus areas, including:**

- Demand generation and confidence (e.g., evidence generation on drivers for demand and strategies; community engagement; health work training)
- Institutional capacity (e.g., supply chain design; institutionalizing supply chain management, digitalization, and use of immunization data; NITAG strengthening)
- Analyze, sensitise and advocate for NVIs

## Expanded partners

- Engaging CSOs and local communities to strengthen demand and confidence in vaccines and increase vaccination coverage; and training HCWs in development of effective microplans to identify zero dose and missed communities. Ukraine, Viet Nam and Indonesia (*PATH*)
- Activities to strengthen NITAGs and systems for Continuous Immunisation Provider Education (CIPE) in Timor-Leste, Fiji and Viet Nam (*National Centre Immunisation Research and Surveillance*)

# MICs Peer knowledge exchange network



- Peer knowledge exchange platform - Linked Immunisation Action Network

[www.linkedimmunisation.org](http://www.linkedimmunisation.org)

- Led by Results for Development (R4D), with regional partner organisations (in ECARO: Curatio International Foundation)
- Brings together MICs stakeholders (country immunisation programme, technical experts, and other immunisation partners) **to identify common immunisation programme challenges and share experiences and best practices** that can lead to greater resilience, equity, and sustainability.



# MICs Approach: Progress Update



# Catalytic Phase | MICs Approach in 5.0/5.1

## Key funding levers

Intervention area	Support	Progress to date (since 2022)
<b>Foundational building blocks</b>  (Global & regional support)	Advocacy to galvanise political commitment	➤ Global/ Regional TA with WHO, UNICEF under implementation ➤ Expanded partners: under implementation ➤ Access to Linked learning platform for MICs countries
	Multi-country technical assistance	
	Peer-to-peer learning platforms for MICs	
<b>Responsive &amp; catalytic tools</b>  (Country level support)	Targeted interventions to restore routine immunisation coverage	<b>5 countries receive TI support</b> (Angola, Bolivia, Honduras, Indonesia, Viet Nam)
	New vaccine introduction ➤ Technical assistance ➤ Flexible, one-off funding to cover costs related to new vaccine introduction ➤ Vaccine catalytic financing (50% of a first cohort, procurement via UNICEF SD or PAHO RF)	<b>6 launches:</b> Eswatini (HPV), Indonesia (HPV&Rota), Kosovo (PCV, Rota&HPV); <b>Applications approved by the IRC (9):</b> Iran (PCV&Rota), Cuba (PCV&HPV), Grenada (PCV&Rota), Jordan (PCV), Angola (HPV), Tunisia (HPV) <b>Applications in development (6):</b> Mongolia (HPV), Maldives (PCV&Rota), Viet Nam (HPV), Philippines (HPV&Rota)
	Facilitating access to pooled procurement mechanisms in collaboration with UNICEF SD (MICs Financing Facility, MFF)	Operationalised

## FRAGILITY SUPPORT

(Providing support to Venezuela, Lebanon, Sri-Lanka, oPT) \*

## Key challenges

- Backsliding & large Zero Dose in MICs
- 60% of MICs are missing at least one of the 3 vaccines (PCV, Rota & HPV)

## Key targets

- **Prioritise backsliding** support in 90% of former-Gavi countries with >90% pre-COVID DTP3 coverage
- **Reduce the number of zero-dose** children by 230,000 in former-Gavi countries
- **Introduce 8-10 new vaccines** and reach 4M-6M children/adolescents

## Objective 1 | Preventing and mitigating backsliding in Former-Gavi countries requires sustained efforts

- Approved and disbursed country support for **Angola, Indonesia, Bolivia, Honduras. Viet Nam** approved by IRC in December 2023
- World Bank co-investments in **Indonesia** and **Honduras**; private sector engagement in **Indonesia**
- Addresses **backsliding and zero dose children** require different & complementary strategies, **regional TA has a key role**

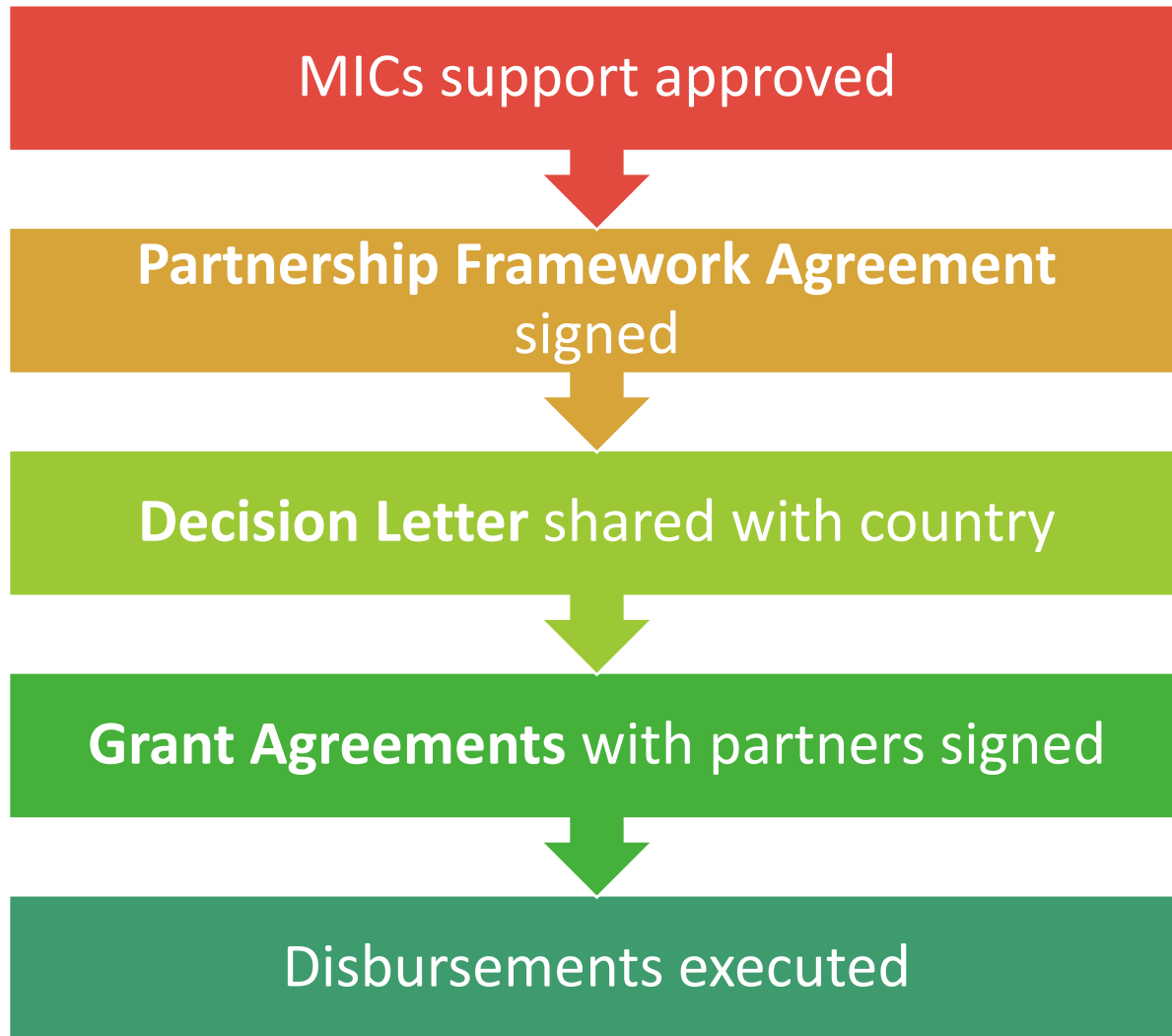
Country	DTP3%				2019			ZD (#)	
	2019	2020	2021	2022	-2020	-2021	-2022	2021	2022
Angola	57	51	45	42	-6	-12	-15	553,309	614,172
Indonesia	85	77	67	85	-8	-18	0	1,149,784	570,969
Viet Nam	89	94	83	91	5	-6	2	187,315	113,843
Ukraine	80	81	78	73	1	-2	-7	30,104	72,071
Bolivia	75	68	70	69	-7	-5	-6	64,400	64,555
Honduras	88	80	77	78	-8	-11	-10	38,537	43,031
Azerbaijan	94	79	89	83	-15	-5	-11	10,019	12,188
Nicaragua	98	92	87	92	-6	-11	-6	16,677	8,260
Uzbekistan	96	95	98	99	-1	2	3	7,946	7,684
Sri Lanka	99	96	96	98	-3	-3	-1	12,175	5,999
Timor Leste	90	86	86	86	-4	-4	-4	4,164	4,184
Moldova	91	86	87	88	-5	-4	-3	4,906	4,020
Georgia	94	88	85	85	-6	-9	-9	1,502	2,438
Mongolia	98	96	95	95	-2	-3	-3	2,126	2,068
Armenia	92	91	93	93	-1	1	1	1,337	1,293
Cuba	99	99	99	99	0	0	0	1,001	993
Guyana	99	99	98	98	0	-1	-1	321	316
Bhutan	97	95	98	98	-2	1	1	95	189
Kiribati	97	92	90	91	-5	-7	-6	68	136

Focus now is in accelerating implementation, monitoring WUENIC results and course-correction

# Required documentation & procedures



# Post approval process



PFA governs the overall relationship between GAVI and recipient countries- One time only.  
**GENERAL FRAMEWORK**

DL governs the conditions of the specific approved support- **SPECIFIC & OPERATIONAL**

GAs governs the relationship between GAVI and implementing partners under the approved support- **SPECIFIC & OPERATIONAL**

# Gavi's Partnership Framework Agreement (PFA)

- PFA is the legal agreement between countries and Gavi – like a contract/MOU which states the parameters of receipt of Gavi support (vaccines as well as cash).
- The PFA provides a comprehensive and standardized approach for all Gavi countries. This means it includes provisions which may not be “activated” for all countries depending on the scope of activities (e.g., co-financing).
- The standardized PFA format ensures a future-proof expansiveness, allowing Gavi to respond to possible changes in circumstances which may necessitate further country support.

**Signature of the is a pre-requisite for disbursement**

Agreement reference no.: [CTY-01]

THE GAVI ALLIANCE  
AND  
THE GOVERNMENT OF [COUNTRY]

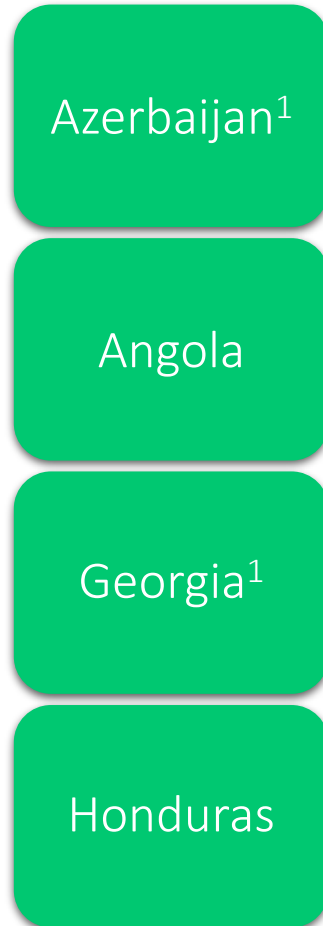
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PARTNERSHIP FRAMEWORK AGREEMENT  
FOR  
VACCINE AND/OR CASH SUPPORT  
[DATED]

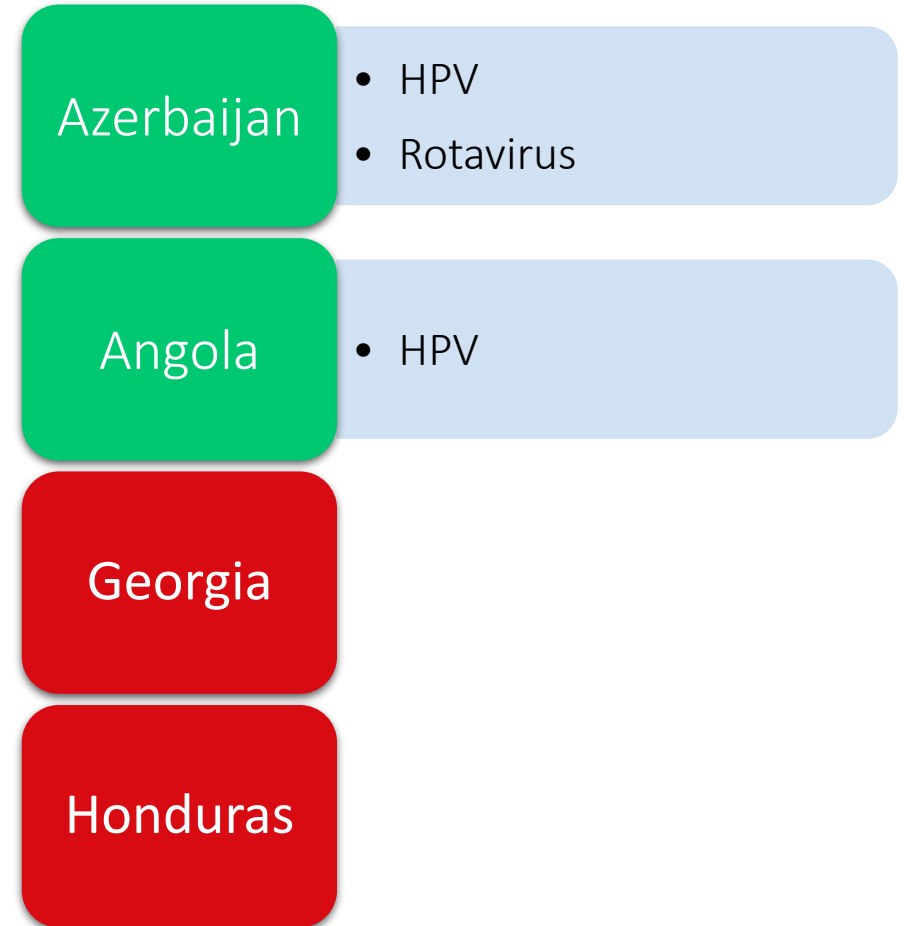
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# Country eligibility

# Targeting Interventions



## NVI



What's next for MICs?

# 6.0 Strategy & MICs



# The MICs Approach becomes the Catalytic phase of the ELTRACO model

## Gavi 6.0 Objectives

Drive sustainable introduction of key missing vaccines

Prevent and mitigate backsliding

Ensure support for fragile countries



## Levers of support in Catalytic phase



**Country-level catalysers** (e.g. vaccine catalytic financing, one-off-costs and TA) leveraged through **partnerships with GHIs**

Continue working on **vaccine access and sustainable pricing** with UNICEF and other partners

**Multi-country technical assistance**



**Country-level Targeted interventions for selected Former Gavi-eligible countries**; leveraged through **partnerships with MDBs**

**Both Former and Never Gavi-eligible countries can access global & regional public goods**



Harmonised **support** explored in **new Fragile, Conflict and Humanitarian Settings strategic approach** and not included in the funding envelope<sup>1</sup>

Eligibility: Former and Never Gavi LMICs or eligible for the IDA

Scope of countries for each lever further concretised through the Funding Policy Review

# MICs Approach resources

- [MICs Approach Overview](#)
- [Gavi Website MICs Approach Webpage](#)
- [MICs Approach Theory of Change](#)
- [MICs Approach Narrative & Measurement Framework](#)
- [MICs Approach FAQs](#)
- [MICs Approach NVI Technical Assistance Guidelines](#)
- [Proposal Development & IRC Overview](#)

**Teşekkürler**  
**and many thanks to the MICs colleagues**  
**who contributed to this presentation!**